

PRIVACY POLICY

Last Updated: July 2026

Division One Compliance, LLC ("D1C," "we," "us," or "our") respects your privacy and is committed to protecting the information collected through our website, divisiononecompliance.com. This Privacy Policy explains how we collect, use, and safeguard information when you visit our website or interact with us online.

1. Information We Collect

We collect minimal business contact information necessary to communicate with potential and existing business clients:

- **Information You Provide Voluntarily:** When you submit a contact form, request information, or contact us, we collect basic corporate details such as your name, business email address, company name, phone number, and message content.
- **Automated Website Analytics:** When you visit divisiononecompliance.com, standard internet log information (such as your IP address, browser type, device type, and pages visited) may be collected automatically via standard analytics tools to ensure site functionality and performance.

2. How We Use Your Information

We use business contact information solely to:

- Respond to corporate inquiries and deliver requested services.
- Issue invoices and manage client billing.
- Send administrative updates regarding our Terms of Service or compliance offerings.

3. Sharing with Affiliates and Fulfillment Partners

D1C **does not sell, rent, trade, or lease** business lead details to third parties for independent marketing purposes. However, to efficiently evaluate and respond to client inquiries, D1C may share inquiry details submitted through divisiononecompliance.com with our corporate affiliate and service fulfillment partner, Securities Industry Records Services, LLC (SIRS). SIRS may contact you directly on behalf of or in coordination with D1C to address your specific service request. We may also disclose information as necessary to comply with valid legal processes or official regulatory inquiries directed to D1C.

4. Data Security

D1C implements appropriate technical and administrative safeguards to protect website contact submissions against unauthorized access or disclosure.

5. Contact Us

If you have questions regarding this Privacy Policy, please contact us at:

Division One Compliance, LLC

PO Box 95316

South Jordan, UT 84095